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PTO/SB/21 (08-00)

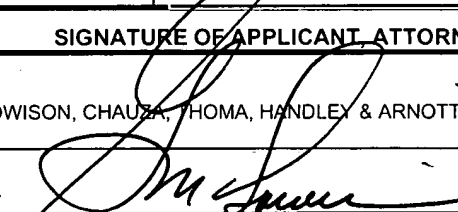
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/125,479	
	Filing Date	5/6/99	
	First Named Inventor	Lancaster, R.	
	Group Art Unit	2162	
	Examiner Name	Y. Retta	
Total Number of Pages in This Submission	5	Attorney Docket Number	LAUS-24,408

ENCLOSURES (check all that apply)		
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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
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In re application of: Roger Lancaster
Serial No.: 09/125,479
Filed: May 6, 1999
Group: 2162
Examiner: Y. Retta
For: UNIVERSAL CONTRACT EXCHANGE

Assistant Commissioner for Patents
Washington, D. C. 20231

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Dear Sir:

AMENDMENT AND RESPONSE TO OFFICE ACTION

In response to the Office Action mailed 28 August 2001, please amend the specification as follows:

In the Claims

Please cancel Claims 1-13 and add the following claims:

SUB F1/11
14. (New) In a contract exchange providing a market place for the trade of contracts on which options are held, the trade taking place between investors, the contract exchange including:

AMENDMENT AND RESPONSE
S/N 09/125,479
Atty. Dkt. No. LAUS-24,408